# A Discrete Event Systems Approach to Failure Diagnosis: Theory & Applications

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# Diagnostics in the Industrial World

• The Three C's:

Cost, Computation, and Customer Satisfaction

- Downtime is unproductive and undesirable.
- Service is costly and competitive.
- Safety



#### **Requirements for Industrial Systems**

Diagnostic engine must be easy to develop.

Diagnostic engine must be simple to implement.

Diagnosis must be achieved with minimal, cost-effective set of sensors.

Diagnosis may need to be achieved with decentralized information



# The "DES" Diagnostic Methodology DES: Discrete-Event Systems

Modeling: languages and automata

Dynamic tracking and state-based inferencing: Diagnosers

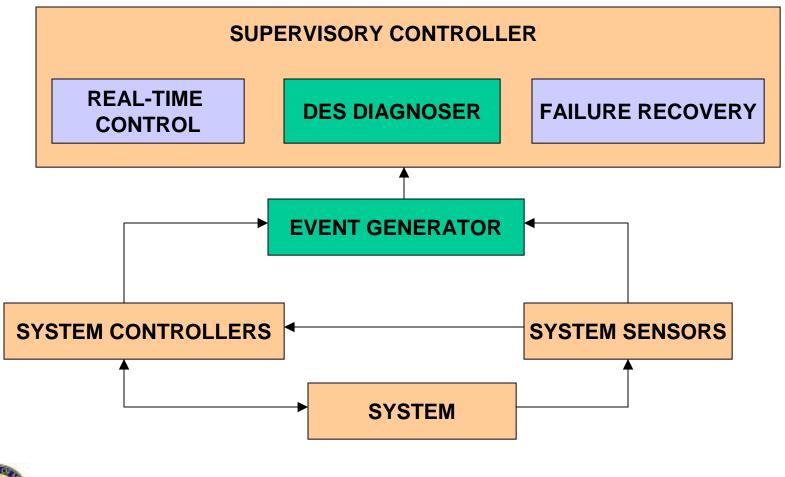
Ability to incorporate sensor information from multiple sources: real and *virtual* sensors

Automated design of diagnostic inference engine

Simple on-line implementation



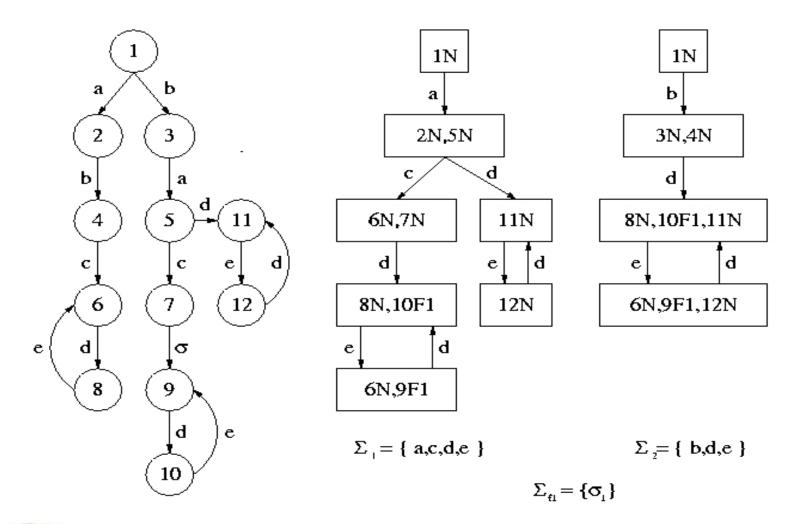
#### Implementation





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#### Example 1: Heating, Ventilation, and Air Conditioning Systems

Components hard to access, few sensors

Valve, pump, controller faults, etc.

Sinnamohideen, Sampath et al., JCI



Courtesy, Johnson Controls, Inc.



#### Example 2: Document Processing Systems

Complex processes, few sensors Electro-mechanical and image quality faults Sampath et al., Xerox Corp.





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#### Example 3: Automated Highway Systems (AHS)

Platoons of vehicles Transmitter and receiver faults Sengupta et al., PATH, UC-Berkeley



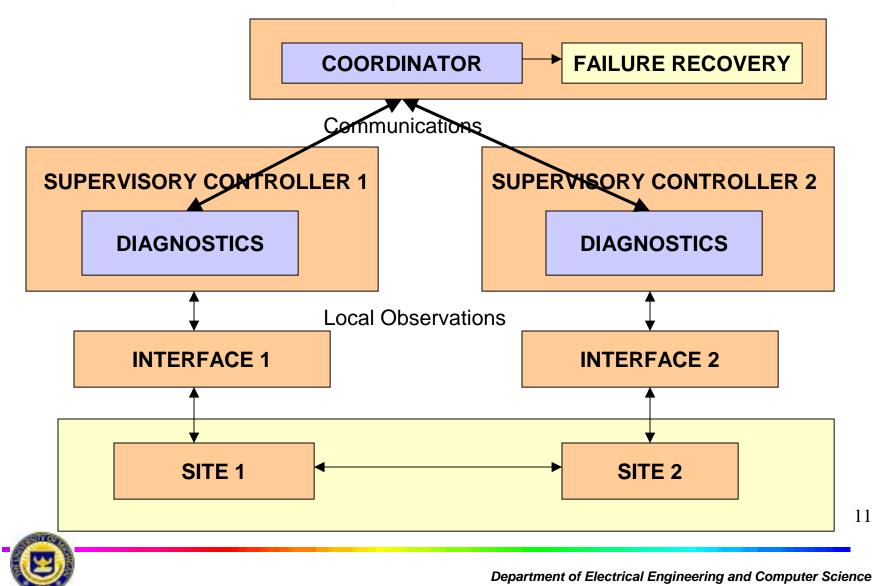


### In general:

- Many systems are informationally decentralized
  - Computer and Communication Networks
  - Manufacturing Systems
  - Power Systems
- Need to develop methodologies to diagnose these systems



#### **Decentralized Diagnosis with Coordinator**



# **Key Ingredients**

Local processing for diagnostics Communication rule Decision rule at coordinator

### We call these a **PROTOCOL**



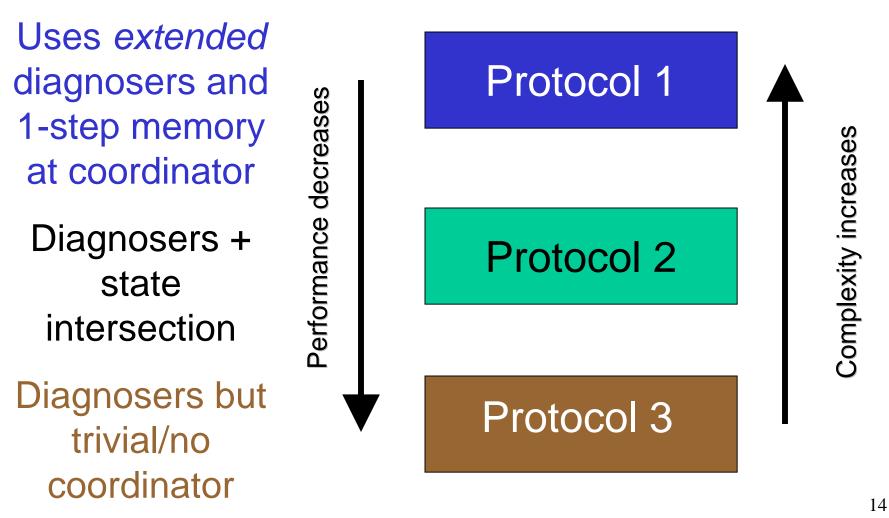
# Objective

- Design a set of protocols and analyze their "complexity – performance" tradeoff
- Compare their performance to the centralized diagnoser

The centralized scheme is the "only" one available for comparison purposes...



#### Work Done So Far





## Salient Features of Approach

- Formal: model-based, diagnosability
- Applicable to dynamic systems
- Analytical foundations:
  - diagnosers, indeterminate cycles, failure-ambiguous traces
- Amenable to design:
  - sensor selection, active diagnosis
- Easy of implementation
- Extensible, versatile



### Other Extensions of "Basic" Theory

- Timed models of DES
  - Chen & Provan, Rockwell, ACC 97
  - Zad et al., Univ. of Toronto, CDC 99 (see also CDC 98)
- Decentralized DES
  - Sengupta et al., PATH–U.C. Berkeley, WODES 98
  - Rozé and Cordier, IRISA, WODES 98
  - Pencolé, IRISA, DX-00
- Modular DES
  - Ricker et al., IRISA



### **Challenges Ahead**

- Large-scale systems:
  - Decoupling, modularity
- Decentralized-information systems
  - Novel architectures
- Imprecise information
  - Probabilistic extension
- More industrial applications

